

Substitute for form 1448A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/787,404
			Filing Date	February 24, 2004
			First Named Inventor	FRANTZEN, JOHN J.
			Art Unit	3734
			Examiner Name	TRUONG, KEVIN THAO
(Use as many sheets as necessary)			Attorney Docket Number	025925-000110US
Sheet	1	of	3	



U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
✓	1	4,641,653	02-10-1987	Rockey	
	2	4,728,328	03-01-1988	Hughes et al.	
	3	5,330,528	07-19-1994	Lazim	
	4	5,534,024	07-09-1998	Rogers et al.	
	5	5,665,117	09-09-1999	Rhodes	
	6	5,769,882	06-23-1998	Fogarty et al.	
	7	5,824,037	10-20-1998	Fogarty et al.	
	8	5,843,160	12-01-1998	Rhodes	
	9	5,876,448	03-02-1999	Thompson et al.	
	10	6,110,198	08-29-2000	Fogarty et al.	
	11	6,168,592	01-02-2001	Kupiecki et al.	
	12	6,190,402	02-20-2001	Horton et al.	
	13	6,193,745	02-27-2001	Fogarty et al.	
	14	6,196,230	03-06-2001	Hall et al.	
	15	6,261,305	07-17-2001	Marotta et al.	
	16	6,283,991	09-04-2001	Cox et al.	
	17	6,296,603	10-02-2001	Turnlund et al.	
	18	6,299,597	10-09-2001	Buscemi et al.	
	19	6,312,462	11-06-2001	McDermott et al.	
	20	6,312,463	11-06-2001	Rourke et al.	
	21	6,331,184	12-18-2001	Abrams	
	22	6,334,869	01-01-2002	Leonhardt et al.	
	23	6,409,757	06-25-2002	Trout, III et al.	
	24	6,463,317	10-08-2002	Kucharczyk et al.	
	25	6,506,204	01-14-2003	Mazzocchi	
	26	6,544,276	04-08-2003	Azizi	
	27	6,592,614	07-15-2003	Lenker et al.	
	28	6,613,037	09-02-2003	Khosravi et al.	
	29	6,656,214	12-02-2003	Fogarty et al.	
	30	6,663,607	12-16-2003	Slalkeu et al.	
	31	6,663,667	12-16-2003	Dehdashtian et al.	
	32	6,730,119	05-04-2004	Smalling	
	33	6,827,735	12-07-2004	Greenberg	
	34	6,843,803	01-18-2005	Ryan et al.	
✓	35	6,918,926	07-19-2005	Letort	


Examiner Signature	<i>Kevin Truong</i>	Date Considered	8/2/06
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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W	36	6,960,227	11-01-2005	Jones et al.	
	37	2001/0020184	09-06-2001	Dehdashtian et al.	
	38	2002/0019665	02-14-2002	Dehdashtian et al.	
	39	2002/0026217	02-28-2002	Baker et al.	
	40	2002/0045848	04-18-2002	Jaafar et al.	
	41	2002/0052643	05-02-2002	Wholey et al.	
	42	2003/0014075	01-16-2003	Rosenbluth et al.	
	43	2003/0051735	03-20-2003	Pavcnik et al.	
	44	2003/0130725	07-10-2003	DePalma et al.	
	45	2003/0135269	07-17-2003	Swanstrom	
	46	2003/0204242	10-30-2003	Zarins et al.	
	47	2003/0204249	10-30-2003	Letort	
	48	2003/0216802	11-20-2003	Chobotov et al.	
	49	2004/0016997	01-29-2004	Ushio	
	50	2004/0044358	03-04-2004	Khosravi et al.	
	51	2004/0082989	04-29-2004	Cook et al.	
	52	2004/0098096	05-20-2004	Eton	
	53	2004/0204755	10-14-2004	Robin	
	54	2005/0004660	01-06-2005	Rosenbluth et al.	
	55	2005/0028484	02-10-2005	Littlewood	
	56	2005/0065592	03-24-2005	Holzer	
W	57	2006/0025853	02-02-2006	Evans et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	58	WO	01/21108		03-29-2001	Edwards Lifesciences Corp		<input type="checkbox"/>
	59	WO	02/102282		12-27-2002	Vortex Innovation Ltd		<input type="checkbox"/>
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Examiner Signature		Date Considered	8/1/06
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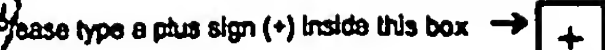
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				<i>Application Number</i>	10/787,404
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				<i>Examiner Name</i>	TRUONG, KEVIN THAO
<i>Attorney Docket Number</i>	025925-000110US				
Sheet	3	of	3		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
			<input type="checkbox"/>
			<input type="checkbox"/>

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Application Number	10/787,404
Filing Date	02/24/2004
First Named Inventor	FRANTZEN
Group Art Unit	3731
Examiner Name	
Attorney Docket Number	04017.001

Sheet 2 of 10

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
M		5,242,399		Lau	09-07-1993	
		5,250,071		Palermo	10-05-1993	
		5,261,916		Engelson	11-16-1993	
		5,263,964		Purdy	11-23-1993	
		5,314,444		Gianturco	05-24-1994	
		5,334,217		Das	08-02-1994	
		5,350,397		Palermo	09-27-1994	
		5,352,199		Tower	10-04-1994	
		5,375,612		Cottenceau	12-27-1994	
		5,383,892		Cardon	01-24-1995	
		5,421,955		Lau	06-06-1995	
		5,423,849		Engelson	06-13-1995	
		5,425,739		Jessen	06-20-1995	
		5,425,744		Fagan	06-20-1995	
		5,441,510		Simpson	08-15-1995	
		5,441,515		Khosravi	08-15-1995	
		5,443,477		Marin	08-22-1995	
		5,443,496		Schwartz	08-22-1995	
		5,449,373		Pinchasik	09-12-1995	
		5,485,667		Kleshinski	01-23-1996	

[illegible]

12. June

8/2/06

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
				Application Number	10/787,404
				Filing Date	02/24/2004
				First Named Inventor	FRANTZEN, John J.
				Group Art Unit	3731
				Examiner Name	
				Attorney Docket Number	04017.001
Sheet	3	of	10		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code² (if known)			
W		5,494,029		Lane	02-27-1996	
		5,496,277		Termin	03-05-1996	
		5,507,767		Maeda	04-16-1996	
		5,507,771		Gianturco	04-16-1996	
		5,514,115		Frantzen	05-07-1996	
		5,514,154		Lau	05-07-1996	
		5,522,882		Gaterud	06-04-1996	
		5,531,741		Barbacci	07-02-1996	
		5,545,210		Hess	08-13-1996	
		5,549,662		Fordenbacher	08-27-1996	
		5,549,663		Cottone, Jr.	08-27-1996	
		5,554,181		Das	09-10-1996	
		5,562,641		Flomenblit	10-08-1996	
		5,562,698		Parker	10-08-1996	
		5,562,728		Lazarus	10-08-1996	
		5,569,295		Lam	10-29-1996	
		5,578,074		Mirigian	11-26-1996	
		5,578,149		De Scheerder	11-26-1996	
		5,591,195		Taheri	01-07-1997	
W		5,591,223		Lock	01-07-1997	

[illegible]

Examiner Signature	<i>TRUONG</i>	Date Considered	8/2/06
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Sheet	4	of	10		

U.S. PATENT DOCUMENTS						
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		Number	Kind Code² (if known)			
✓		5,591,226		Trerotola	01-07-1997	
		5,591,228		Edoga	01-07-1997	
		5,591,230		Horn	01-07-1997	
		5,593,417		Rhodes	01-14-1997	
		5,601,600		Ton	02-11-1997	
		5,603,721		Lau	02-18-1997	
		5,605,530		Fischell	02-25-1997	
		5,607,442		Fischell	03-04-1997	
		5,607,445		Summers	03-04-1997	
		5,607,468		Rogers	03-04-1997	
		5,609,605		Marshall	03-11-1997	
		5,617,878		Taheri	04-08-1997	
		5,618,299		Khosravi	04-08-1997	
		5,624,411		Tuch	04-29-1997	
		5,630,840		Mayer	05-20-1997	
		5,632,760		Sheiban	05-27-1997	
		5,632,763		Glastra	05-27-1997	
		5,632,771		Boatman	05-27-1997	
		5,634,941		Winston	06-03-1997	
✓		5,636,641		Fariabi	06-10-1997	

[illegible]

Examiner Signature	<i>R. TRUONG</i>	Date Considered	8/2/05
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		Number	Kind Code² (if known)			
W		D380,266		Boatman	06-24-1997	
		D380,831		Kavteladze	07-08-1997	
		5,662,614		Edoga	09-02-1997	
		5,665,117		Rhodes	09-09-1997	
		5,674,241		Bley	10-07-1997	
		5,683,449		Marcade	11-04-1997	
		5,690,643		WiJay	11-25-1997	
		5,693,067		Purdy	12-02-1997	
		5,693,088		Lazarus	12-02-1997	
		5,697,971		Fischell	12-16-1997	
		5,709,707		Lock	01-20-1998	
		5,718,713		Frantzen	02-17-1998	
		5,723,004		Dereume	03-03-1998	
		5,725,572		Lam	03-10-1998	
		5,728,068		Leone	03-17-1998	
		5,728,131		Frantzen	03-17-1998	
		5,728,158		Lau	03-17-1998	
	W		5,735,892		Myers	04-07-1998
		5,735,893		Lau	04-07-1998	
		5,741,327		Frantzen	04-21-1998	

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		Number	Kind Code ² (if known)			
W		5,741,333		Frid	04-21-1998	
		5,746,691		Frantzen	05-05-1998	
		5,755,769		Richard	05-26-1998	
		5,755,778		Kleshinski	05-26-1998	
		5,766,238		Lau	06-16-1998	
		5,776,114		Frantzen	07-07-1998	
		5,776,161		Globerman	07-07-1998	
		5,782,907		Frantzen	07-21-1998	
		5,788,626		Thompson	08-04-1998	
		5,797,953		Tekulve	08-25-1998	
		5,800,393		Sahota	09-01-1998	
		5,800,514		Nunez	09-01-1998	
		5,800,525		Bachinski	09-01-1998	
		5,807,404		Richter	09-15-1998	
		5,810,872		Kanesaka	09-22-1998	
		5,824,036		Lauterjung	10-20-1998	
		5,824,040		Cox	10-20-1998	
		5,824,049		Ragheb	10-20-1998	
		5,824,056		Rosenberg	10-20-1998	
		5,827,321		Roubin	10-27-1998	

[illegible]

Examiner Signature	<i>K. Truitt</i>	Date Considered	8/2/06
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		Number	Kind Code ² (if known)			
W		5,836,966		St. Germain	11-17-1998	
		5,843,160		Rhodes	12-01-1998	
		5,843,175		Frantzen	12-01-1998	
		5,846,246		Dirks	12-08-1998	
		5,849,037		Frid	12-15-1998	
		5,860,998		Robinson	01-09-1999	
		5,863,627		Szycher	01-26-1999	
		5,868,708		Hart	02-09-1999	
		5,868,782		Frantzen	02-09-1999	
		5,871,537		Holman	02-16-1999	
		5,873,907		Frantzen	02-23-1999	
		5,879,381		Moriuchi	03-09-1999	
		5,902,332		Schatz	05-11-1999	
		5,919,224		Thompson	07-06-1999	
		5,931,866		Frantzen	08-03-1999	
		5,944,750		Tanner	08-31-1999	
		5,947,991		Cowan	09-07-1999	
		5,948,184		Frantzen	09-07-1999	
		5,984,955		Wisselink	11-16-1999	
		6,007,573		Wallace	12-28-1999	

[illegible]

Examiner Signature	<i>K. Trevino</i>	Date Considered	8/2/06
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/787,404
				Filing Date	02/24/2004
				First Named Inventor	FRANTZEN, John J.
				Group Art Unit	3731
				Examiner Name	
				Attorney Docket Number	04017.001
Sheet	8	of	10		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ¹ (if known)			
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[illegible]

16. True or False

8/2/06



¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.


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		Application Number	10/787,404
		Filing Date	02/24/2004
		First Named Inventor	FRANTZEN, John J.
		Group Art Unit	3731
		Examiner Name	
Sheet 10 of 10	Attorney Docket Number	.04017.001	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PATRICK W. SERRUYS and MICHAEL JB KUTRYK; Handbook of Coronary Stents, Second Edition; 1998; pages 45, 55, 78, 103, 112, 132, 158, 174, 185, 190, 207, 215, 230, 239; Martin Dunitz; UK	
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Examiner Signature		Date Considered	2/24/04
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